

TABLE OF CONTENTS

	Page
ABSTRACT (THAI)	I
ABSTRACT (ENGLISH)	III
ACKNOWLEDGEMENTS	V
TABLE OF CONTENTS	VI
LIST OF TABLES	XI
LIST OF FIGURES	XV
LIST OF ABBREVIATIONS	XVII
CHAPTER	
1. INTRODUCTION.....	1
1.1 Background of the Study.....	1
1.1.1 The Paradigm Shift from Nativeness to Intelligibility	2
1.1.2 ELF and Writing for International Publication.....	4
1.1.3 Research and Writing Practices in the Banking and Finance Discipline..	4
1.1.4 Research and Publication in the Vietnamese Context	6
1.2 Rationale of the Study.....	7
1.2.1 Difficulties in Writing for International Publication Faced by Vietnamese Researchers.....	8
1.2.2 Needs from the Vietnamese Context.....	9
1.2.3 Lack of Current Resources	12
1.2.4 Preliminary Survey: Online Questionnaire	12
1.2.5 Reserch Gaps	13
1.3 Research Purposesa.....	15
1.4 Research Questions	16
1.5 Significance of the Study.....	16
1.5.1 Theoretical Significance	16
1.5.2 Methodological Significance	17
1.5.3 Pedagogical Significance	18
1.6 Scope of the Study.....	19
1.7 Definition of Key Terms	19
1.8 Chapter Summary	21
2. LITERATURE REVIEW.....	22
2.1 English as a Lingua Franca and its Connection to World Englishes.....	22
2.2 Written English as a Lingua Franca and Academic Writing	23
2.3 Genre Studies and Research Articles (RAs)	25
2.3.1 Genre Studies	26
2.3.1.1 Definitions of Genre	26

TABLE OF CONTENTS (Cont.)

	Page
2.3.1.2 Three Approaches to Genre Studies	27
2.3.1.3 Move Analysis.....	29
2.3.2 RAs as a Genre.....	30
2.3.2.1 Rhetorical Structures of RAs.....	32
2.3.2.1.1 Previous Studies on the Introduction Section.....	32
2.3.2.1.2 Previous Studies on the Literature Review Section ...	36
2.3.2.1.3 Previous Studies on the Methods Section	40
2.3.2.1.4 Previous Studies on the Results Section	42
2.3.2.1.5 Previous Studies on the Discussion Section.....	45
2.3.2.1.6 Previous Studies on the Conclusion Section	49
2.3.2.1.7 Previous Studies on the Whole Research Article.....	51
2.3.2.2 Rhetorical Structure of RAs by NNES Writers in the ELF Context	53
2.4 Banking and Finance Discourse	55
2.4.1 Definitions, Characteristics, and Common Types of Banking and Finance Discourse	55
2.4.2 Banking and Finance Research Articles	59
2.5 Code Glosses as a Device for Discourse Reflexivity	61
2.5.1 Discourse Reflexivity.....	61
2.5.2 Discourse Reflexivity and Metadiscourse	61
2.5.3 Code Glosses	62
2.5.4 Code Glosses in RAs by NNES Writers in the ELF Context	67
2.6 Research Gaps in the Previous Studies	70
2.7 Chapter Summary.....	71
3. METHODOLOGY	72
3.1 Overview of Research Purposes.....	72
3.2 Research Design.....	73
3.3 Corpus Compilation.....	74
3.3.1 Corpus Size	76
3.3.2 Selection of Journals and RAs	77
3.4 Move-step Structure Analysis.....	80
3.4.1 The Analytical Frameworks.....	83
3.4.2 The Analytical Reliability.....	90
3.4.3 The Analytical Procedure.....	92
3.4.4 Determination of the Status of Moves and Steps	101
3.5 Code Gloss Analysis.....	102
3.5.1 Size of the Corpora	102
3.5.2 The Analytical Frameworks.....	102

TABLE OF CONTENTS (Cont.)

	Page
3.5.3 The Analytical Reliability	104
3.5.4 The Analytical Procedure.....	105
3.6 Pilot Study	107
3.6.1 Data and Data Analysis	108
3.6.2 Results and Discussion.....	109
3.6.2.1 Move-Step.....	109
3.6.2.2 Code Glosses.....	109
3.6.2.2.1 Reformulation markers in the Introduction sections of VNRAs and ELFRAs.....	112
3.6.2.2.2 Exemplification markers in the Introductions of VNRAs and ELFRAs	117
3.6.3 Plan for the Main Study	120
3.7 Chapter Summary.....	120
4. RESULTS AND DISCUSSION OF MOVE-STEP ANALYSIS	121
4.1 The Introduction Sections.....	121
4.1.1 Moves and Steps in the Introduction Sections of VNRAs.....	122
4.1.2 Move-Step Structure in the Introduction Sections of VNRAs	129
4.1.3 Comparison of Moves and Steps in the Introduction Sections of VNRAs and ELFRAs	133
4.1.4 Comparison of Move-Step Structure in the Introduction Sections of VNRAs and ELFRAs.....	138
4.2 The Literature Review Sections	141
4.2.1 Moves and Steps in the Literature Review Sections of VNRAs	142
4.2.3 Move-Step Structure in the Literature Review Sections of VNRAs	147
4.2.3 Comparison of Moves and Steps in the Literature Review Sections of VNRAs and ELFRAs.....	149
4.2.4 Comparison of Move-Step Structure in the Literature Review Sections of VNRAs and ELFRAs.....	153
4.3 The Methods Sections	156
4.3.1 Moves and Steps in the Methods Sections of VNRAs	156
4.3.2 Move-Step Structure in the Methods Sections of VNRAs.....	164
4.3.3 Comparison of Moves and Steps in the Methods Sections of VNRAs and ELFRAs	166
4.3.4 Comparison of Move-Step Structure in the Methods Sections of VNRAs and ELFRAs	171
4.4 The Results Sections	173
4.4.1 Moves and Steps in the Results Sections of VNRAs	174
4.4.2 Move-Step Structure in the Results Sections of VNRAs.....	179

TABLE OF CONTENTS (Cont.)

	Page
4.4.3 Comparison of Moves and Steps in the Results Sections of VNRA and ELFRAs	181
4.4.4 Comparison of Move-Step Structure in the Results Sections of VNRA and ELFRAs	184
4.5 The Discussion Sections	186
4.5.1 Moves and Steps in the Discussion Sections of VNRA	187
4.5.2 Move-Step Structure in the Discussion Sections of VNRA	193
4.5.3 Comparison of Moves and Steps in the Discussion Sections of VNRA and ELFRAs	194
4.5.4 Comparison of Move-Step Structure in the Discussion Sections of VNRA and ELFRAs	199
4.6 The Conclusion Sections	201
4.6.1 Moves and Steps in the Conclusion Sections of VNRA	201
4.6.2 Move-Step Structure in the Conclusion Sections of VNRA	205
4.6.3 Comparison of Moves and Steps in the Conclusion Sections of VNRA and ELFRAs	207
4.6.4 Comparison of Move-Step Structure in the Conclusion Sections of VNRA and ELFRAs	211
4.7 Chapter Summary	213
5. RESULTS AND DISCUSSION OF CODE GLOSS ANALYSIS	214
5.1 Frequency of Code Glosses in VNRA and ELFRAs	214
5.2 Reformulation Markers in VNRA and ELFRAs	218
5.3 Exemplification Markers in VNRA and ELFRAs	224
5.4 Chapter Summary	227
6. CONCLUSION	228
6.1 Summary of Major Findings	228
6.1.1 Move-Step Analysis	228
6.1.1.1 Research Question 1	228
6.1.1.2 Research Question 2	229
6.1.2 Code Gloss Analysis	233
6.1.2.1 Research Question 3	233
6.1.2.2 Research Question 4	233
6.2 Discussion	234
6.3 Pedagogical Implications	235
6.4 Limitations and Recommendations for Future Research	237
6.5 Chapter Summary	238
APPENDIX A	265
APPENDIX B	268

TABLE OF CONTENTS (Cont.)

	Page
APPENDIX C	274
APPENDIX D	275
APPENDIX E	279
CURRICULUM VITAE.....	283

LIST OF TABLES

Table	Page
2.1 Comparison between ELF and WE.....	23
2.2 Comparison of three genre analysis traditions	29
2.3 Modified CARS model by Swales (2004, pp. 230-233)	33
2.4 Previous studies on the Introduction sections	35
2.5 Kwan's (2006) model for analyzing LR chapters (p.51).....	37
2.6 Previous studies on the Literature Review sections.....	39
2.7 Previous studies on the Methods sections	42
2.8 Brett's (1994) model for the Results sections of RAs	43
2.9 Williams's (1999) model for the Results sections of RAs	43
2.10 Yang and Allison's (2003, p.374) model for the Results sections of RAs	44
2.11 Previous studies on the Results sections	45
2.12 Yang and Allison's (2003, p.376) model for the Discussion sections of RAs	46
2.13 Previous studies on the Discussion sections.....	48
2.14 Previous studies on the Conclusion sections	50
2.15 Previous studies on the whole RA	53
2.16 An interpersonal model of metadiscourse (Hyland, 2005, p. 58).....	62
2.17 Previous studies on code glosses.....	69
3.1 Journals for VNRA's corpus	78
3.2 Journals for ELFRAs corpus.....	79
3.3 Journals and the number of BFRAs in the VNRA's and ELFRAs.....	80
3.4 Summary of BFRA's sections in VNRA's and ELFRAs.....	81
3.5 Summary of the BFRA structures in VNRA's and ELFRAs	82
3.6 Summary of the methodology for move-step analysis.....	83
3.7 The proposed move-step framework for BFRAs.....	88
3.8 The results of inter-rater reliability for the move-step initial coding.....	95
3.9 The modified move-step framework for BFRAs proposed for this current study.....	99
3.10 Corpora for code glosses investigation	102
3.11 Hyland's (2005, 2007) list of code gloss markers	103
3.12 Code glosses which were explored in this study	104
3.13 Summary of the methodology for code gloss analysis.....	107
3.14 Percentage agreement of the analysis of code glosses in the Introduction sections of VNRA's and ELFRAs	110
3.15 The frequency of code glosses in the Introduction sections of VNRA's and ELFRAs (per 100,000 words).....	110
3.16 The Chi-Square test results on code glosses used in the Introduction sections of VNRA's and ELFRAs	112

LIST OF TABLES (Cont.)

Table	Page
3.17 Reformulation markers in the Introduction sections of VNRAs and ELFRAs.....	112
3.18 Proportions of reformulation functions in the Introduction sections of VNRAs and ELFRAs	114
3.19 The Chi-Square test results on sub-functions of reformulation markers in the Introductions of VNRAs and ELFRAs.....	117
3.20 Exemplification markers in the Introduction sections of VNRAs and ELFRAs.....	117
4.1 Percentage agreement of the analysis of moves and steps in the Introductions of VNRAs and ELFRAs	121
4.2 The number of VNRAs in which move, or step occurs and their status.....	122
4.3 The opening and closing steps in the Introduction sections of VNRAs.....	131
4.4 Move patterns in the Introduction sections of VNRAs	132
4.5 The status of moves and steps in the Introduction sections of VNRAs and ELFRAs	133
4.6 The similarities and differences of status of moves and steps in the Introductions of VNRAs and ELFRAs	136
4.7 The occurrence of all the moves and steps in the Introductions of VNRAs and ELFRAs	137
4.8 The opening and closing steps in the Introduction sections of VNRAs and ELFRAs.....	138
4.9 Move patterns found in the Introduction sections of VNRAs and ELFRAs.....	140
4.10 Percentage agreement of the analysis of moves and steps in the Literature Review sections of VNRAs and ELFRAs	141
4.11 The number of VNRAs in which move, or step occurs and their status (N=30)	142
4.12 The opening and closing steps in the Literature Review sections of VNRAs	148
4.13 Move patterns in the Literature Review sections of VNRAs	149
4.14 The frequency and status of moves and steps in the Literature Review sections of VNRAs and ELFRAs.....	150
4.15 Status of moves and steps in the Literature Review sections of VNRAs and ELFRAs	151
4.16 The occurrence of all the moves and steps of the Literature Review sections in VNRAs and ELFRAs.....	152
4.17 The opening and closing steps in the Literature Review sections of VNRAs and ELFRAs	153
4.18 Move patterns found in the Literature Review sections of VNRAs and ELFRAs.....	155
4.19 Percentage agreement of the analysis of moves and steps in the Methods sections of VNRAs and ELFRAs.....	156

LIST OF TABLES (Cont.)

Table	Page
4.20 The number of VNRAs in which move, or step occurs, their status, and occurrence (N=30).....	156
4.21 The opening and closing steps in the Methods sections of VNRAs.....	165
4.22 Move patterns in the Methods sections of VNRAs.....	165
4.23 The frequency and status of moves and steps in the Methods sections of VNRAs and ELFRAs	166
4.24 The similarities and differences of status of moves and steps in the Methods sections of VNRAs and ELFRAs.....	168
4.25 The occurrence of all the moves and steps of the Methods sections in VNRAs and ELFRAs	169
4.26 The opening and closing steps in the Methods sections of VNRAs and ELFRAs	171
4.27 Move patterns found in the Methods sections of VNRAs and ELFRAs	172
4.28 Percentage agreement of the analysis of moves and steps in the Results sections of VNRAs and ELFRAs.....	173
4.29 The number of VNRAs in which move, or step occurs, their status, and occurrence (N=30).....	174
4.30 The opening and closing moves/steps in the Results sections of VNRAs	180
4.31 Move patterns in the Results sections of VNRAs.....	180
4.32 The status of moves and steps in the Results sections of VNRAs and ELFRAs.....	181
4.33 The similarities and differences of status of moves and steps in the Results sections of VNRAs and ELFRAs	183
4.34 The occurrence of all the moves and steps of the Results sections in VNRAs and ELFRAs	183
4.35 The opening and closing moves/steps in the Results sections of VNRAs and ELFRAs	185
4.36 Move patterns found in the Results sections of VNRAs and ELFRAs	186
4.37 Percentage agreement of the analysis of moves and steps in the Discussions of VNRAs and ELFRAs	187
4.38 The number of VNRAs in which move, or step occur, their status, and occurrence (N=30).....	187
4.39 The opening and closing moves/steps in the Discussion sections of VNRAs.....	193
4.40 Cyclical patterns in the Discussion sections of VNRAs	194
4.41 The status of moves and steps in the Discussion sections of VNRAs and ELFRAs...	194
4.42 The similarities and differences of status of moves and steps in the Discussions of VNRAs and ELFRAs	197
4.43 The occurrence of all the moves and steps of the Discussions in VNRAs and ELFRAs	198

LIST OF TABLES (Cont.)

Table	Page
4.44 The opening and closing moves/steps in the Discussion sections of VNRA and ELFRAs	199
4.45 Move patterns found in the Discussion sections of VNRA and ELFRAs	200
4.46 Percentage agreement of the analysis of moves and steps in the Conclusions of VNRA and ELFRAs	201
4.47 The number of VNRA in which move, or step occurs, their status, and occurrence (N=30).....	201
4.48 The opening and closing steps in the Conclusion sections of VNRA	206
4.49 Move patterns in the Conclusion sections of VNRA	206
4.50 The status of moves and steps in the Conclusion sections of VNRA and ELFRAs.....	207
4.51 The similarities and differences of status of moves and steps in the Conclusions of VNRA and ELFRAs	209
4.52 The occurrence of all the moves and steps in the Conclusions of VNRA and ELFRAs	210
4.53 The opening and closing moves/steps in the Conclusion sections of VNRA and ELFRAs	211
4.54 Move patterns found in the Conclusion sections of VNRA and ELFRAs.....	212
5.1 Percentage agreement of the analysis of code glosses in VNRA and ELFRAs.....	214
5.2 The frequency of code glosses in VNRA and ELFRAs (per 100,000 words).....	214
5.3 The Chi-Square test results on code glosses used in VNRA and ELFRAs	216
5.4 Most frequent reformulation markers used in BFRAs by Vietnamese researchers (% of total).....	218
5.5 Proportions of reformulation functions in VNRA and ELFRAs (%).....	221
5.6 The Chi-Square test results on reformulation functions in VNRA and ELFRAs	224
5.7 Exemplification markers in VNRA and ELFRAs (% of total).....	224
6.1 The complete move-step framework of BFRAs based on both VNRA and ELFRAs.....	230

LIST OF FIGURES

Figure	Page
2.1 An example of empirical banking and finance research articles	60
2.2 Discourse functions of reformulations.....	64
2.3 Discourse functions of exemplifications.....	65
2.4 Taxonomy of code glosses markers in Hyland (2005).....	66
3.1 The flow chart of the overall research design.....	74
3.2 Steps for creating a new corpus	75
3.3 A screenshot of concordance results for “such as” located in the present study using AntConc	106
3.4 The normalization formula by Biber et al. (1998).....	106
3.5 Code glosses used in the Introduction sections of VNRAs.....	111
3.6 The percentage of code glosses used in the Introduction sections of VNRAs and ELFRAs	111
3.7 Proportions of reformulation functions in the Introduction sections of VNRAs	114
3.8 Proportions of reformulation functions in the Introduction sections of VNRAs and ELFRAs	116
4.1 Three moves in the Introduction sections of VNRAs and ELFRAs	136
4.2 The proportion of cyclical and linear move patterns in the Introduction sections of VNRAs and ELFRAs	141
4.3 Three moves in the Literature Review sections of VNRAs and ELFRAs	151
4.4 The proportion of cyclical and linear move patterns in the Literature Review sections of VNRAs and ELFRAs.....	155
4.5 Five moves in the Methods sections of VNRAs and ELFRAs.....	168
4.6 The proportion of cyclical and linear move patterns in the Methods sections of VNRAs and ELFRAs.....	173
4.7 Seven moves in the Results sections of VNRAs and ELFRAs.....	182
4.8 The proportion of cyclical and linear move patterns in the Results sections of VNRAs and ELFRAs.....	186
4.9 Three moves in the Discussion sections of VNRAs and ELFRAs	196
4.10 The proportion of cyclical and linear move patterns in the Discussion sections of VNRAs and ELFRAs	200
4.11 Three moves in the Conclusion sections of VNRAs and ELFRAs	209

LIST OF FIGURES (Cont.)

Figure	Page
4.12 The proportion of cyclical and linear move patterns in the Conclusion sections of VNRA's and ELFRA's	213
5.1 Code glosses used in the VNRA's	215
5.2 The percentage of code glosses in VNRA's and ELFRA's	216
5.3 Proportions of reformulation functions in VNRA's	221
5.4 Proportions of reformulation functions in VNRA's and ELFRA's	223

LIST OF ABBREVIATIONS

BFRAs	Banking and finance research articles
CARS	Create a Research Space
EAP	English for Academic Purposes
ELF	English as a Lingua Franca
ENL	English as a Native Language
ERPP	English for Research Publication Purposes
ESG	Environmental, social, and governance
ESP	English for Specific Purposes
NNES	Non-native English speaking
NES	Native English speaking
RA	Research article
RAI	Research article introduction
SFL	Systemic Functional Linguistics
WE	World Englishes